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APPLICATION NO	0.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/769,294		01/26/2001	Ronald Fredrik Michael Johnson	38748.010800	4829	
22191	7590	04/07/2004		EXAMINER		
_		TRAURIG	FISCHER, ANDREW J			
1750 TYSONS BOULEVARD, 12TH MCLEAN, VA 22102			PLOOK	ART UNIT	PAPER NUMBER	
	.,			3627		
				DATE MAILED: 04/07/2004		

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)						
Office Action Summary	09/769,294	JOHNSON, RONALD FREDRIK MICHAEL						
.,,	Examiner	Art Unit						
	Andrew J. Fischer	3627						
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply								
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).								
Status								
1) Responsive to communication(s) filed on 30 Ja	nuary 2004.							
2a) This action is FINAL . 2b) ⊠ This	action is non-final.							
3) Since this application is in condition for allowar	nce except for formal matters, pro	secution as to the	e merits is					
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	3 O.G. 213.						
Disposition of Claims								
4) Claim(s) 1-17 is/are pending in the application.								
4a) Of the above claim(s) is/are withdraw	vn from consideration.							
5) Claim(s) is/are allowed.								
6)⊠ Claim(s) <u>1-17</u> is/are rejected.								
7) Claim(s) is/are objected to.								
8) Claim(s) are subject to restriction and/or	election requirement.							
Application Papers								
9)☐ The specification is objected to by the Examine	r.							
10)☐ The drawing(s) filed on is/are: a)☐ acce	epted or b) \square objected to by the E	Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).								
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.								
Priority under 35 U.S.C. § 119								
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 								
2. Certified copies of the priority documents		on No						
3. Copies of the certified copies of the priority documents have been received in this National Stage								
application from the International Bureau (PCT Rule 17.2(a)).								
* See the attached detailed Office action for a list of the certified copies not received.								
	,							
Attachment(s)								
1) Notice of References Cited (PTO-892)	4) Interview Summary							
 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) 	Paper No(s)/Mail Da 5) Notice of Informal Pa		D-152)					
Paper No(s)/Mail Date	6) Other:	4.6	- · · ,					

Art Unit: 3627

DETAILED ACTION

Acknowledgements

- 1. Applicant(s)' amendment filed January 30, 2004 (Paper No. 8) is acknowledged. Accordingly, claims 1-17 remain pending.
- 2. The Examiner for this Applicant has changed. From this time forward, please indicate Examiner Andrew J. Fischer as the examiner of record in all correspondences.
- 3. This Office Action is written in OACS. Because of this, the Examiner is unable to control formatting, paragraph numbering, font, spelling, line spacing, and/or other word processing issues. The Examiner sincerely apologies for these errors.

Claim Rejections - 35 USC §101

- 4. 35 U.S.C. 101 reads as follows:
 - Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.
- 5. Claims 12-17 are rejected under 35 U.S.C. §101 because the claimed invention is directed to non-statutory subject matter. The basis of this rejection is set forth in a two-prong test of:
 - (1) whether the invention is within the technological arts; and
 - (2) whether the invention produces a useful, concrete, and tangible result.
- 6. For a claimed invention to be statutory, the claimed invention must be within the technological arts. *In re Musgrave*, 167 USPQ 280, 289-90 (CCPA 1970); and *In re Johnston*, 183 USPQ 172, 177 (CCPA 1974). Mere abstract ideas (*i.e.*, laws of nature, natural phenomena)

Art Unit: 3627

that do not apply, involve, use, or advance the technological arts fail to promote the "progress of science and the useful arts" and are therefore non-statutory subject matter.²

For a process, the claimed process must somehow apply, involve, use, or advance the technological arts. Mere intended or nominal use of a component—albeit within the technological arts—does not confer statutory subject matter to an otherwise abstract idea if the component does not apply, involve, use, or advance the underlying process. In other words, if the invention in the body of the claim is not tied to a technological art, environment, or machine, the claim is non-statutory. *Ex parte Bowman*, 61 USPQ2d 1665, 1671 (B.P.A.I. 2001) (Unpublished). See also MPEP §2106 IV *B.* 2 (b) ii). The Examiner recommends (by way of example only) recitation of a computer within the body of the claim if the specification supports such an amendment.

Claim Rejections - 35 USC §112 2nd Paragraph

- 7. The following is a quotation of the 2nd paragraph of 35 U.S.C. §112:
 The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 8. Claims 12-17 are rejected under 35 U.S.C. §112, 2nd paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Because of the §101 rejection above the scope of the invention is unclear. If Applicant overcomes the §101 rejections, this particular §112 rejection will also be withdrawn.

¹ It is the Examiner's position that "technological arts" is synonymous with "useful arts" as stated in the U.S. Constitution, Art. I, §8. See *In re Waldbaum*, 173 USPQ 430, 434 (C.C.P.A. 1972).

² E.g., the physical sciences: statutory; c.f., social sciences: non-statutory

Art Unit: 3627

Claim Rejections - 35 USC § 102

9. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 10. Claims 1-17 are rejected under 35 U.S.C. §102(e) as being anticipated by Salvo et. al. (U.S. 6,341,271 B1)("Salvo"). Salvo discloses a database, communications interface, (attached to server 112); a web server (inherent since connections are Internet based); custom software the software at the site designed to implement monitoring arrangement 101.
- 11. Claims 1-17 are rejected under 35 U.S.C. §102(e) as being clearly anticipated by Jammes et. al. (U.S. 6,484,149 B1)("Jammes").
- 12. Claims 1-17 are rejected under 35 U.S.C. §102(e) as being clearly anticipated by Peterson et. al. (U.S. 6,324,522 B2)("Peterson").
- 13. Claims 1-17 are rejected under 35 U.S.C. §102(e) as being clearly anticipated by Hennig et. al. (U.S. 6,587,827 B1)("Hennig").

Claim Rejections - 35 USC § 103

14. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 3627

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

15. Claims rejected under 35 U.S.C. 103(a) as being unpatentable over either Salvo, Jammes, Peterson, or Hennig.³ It is the Examiner's principle position that the claims are independently anticipated by each of the references noted above.

However if not inherent, the Examiner takes Official Notice that: custom software is used in inventory systems such as web browsers; reserve quantities of inventory are kept, and inventory is returned.

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to modify Salvo, Jammes, Peterson, or Hennig to disclose custom software, reserve quantities, and inventory returns. Such a modification would have better allowed inventory management making overall operations cheaper.

16. After careful review of the specification and prosecution history, the Examiner is unaware of any desire—either expressly or implicitly—by Applicant to be his own lexicographer and to define a claim term to have a meaning other than its ordinary and accustomed meaning. Therefore, the Examiner starts with the heavy presumption that all claim limitations are given their ordinary and accustomed meaning. See *Bell Atlantic Network Services Inc. v. Covad Communications Group Inc.*, 262 F.3d 1258, 1268, 59 USPQ2d 1865, 1870 (Fed. Cir. 2001)("[T]here is a heavy presumption in favor of the ordinary meaning of claim language as understood by one of ordinary skill in the art."); *CCS Fitness Inc. v. Brunswick Corp.*, 288 F.3d 1359,1366, 62 USPQ2d 1658, 1662 (Fed. Cir. 2002) (There is a "heavy presumption that a claim

Art Unit: 3627

term carries its ordinary and customary meaning."). See also MPEP 2111.01 and *In re Zletz*, 893 F.2d 319, 321, 13 USPQ2d 1320, 1322 (Fed. Cir. 1989).⁴

In accordance with the ordinary and accustomed meaning presumption, during examination the claims are interpreted with their "broadest reasonable interpretation" In re Morris, 127 F.3d 1048, 1054, 44 USPQ2d 1023, 1027 (Fed. Cir. 1997). See also MPEP 2111.

However, if Applicant disagrees with the Examiner and has either (a) already used lexicography or (b) wishes to use lexicography and therefore (under either (a) or (b)) desires a claim limitation to have a meaning other than its ordinary and accustomed meaning, the Examiner respectfully requests Applicant in his next response to expressly indicate⁵ the claim limitation at issue and to show where in the specification or prosecution history the limitation is defined. Such definitions must be clearly stated in the specification or file history. *Bell Atlantic*, 262 F.3d at 1268, 59 USPQ2d at 1870, ("[I]n redefining the meaning of particular claim terms away from the ordinary meaning, the intrinsic evidence must 'clearly set forth' or 'clearly

³ See MPEP §2112 expressly authorizing alternative §102/§103 rejections when the question of inherency is present in the anticipation rejection.

⁴ It is the Examiner's position that "plain meaning" and "ordinary and accustomed meaning" are synonymous. See e.g. *Rexnord Corp. v. Laitram Corp.*, 274 F.3d 1336, 1342, 60 USPQ2d 1851, 1854 (Fed. Cir. 2001) ("[A]II terms in a patent claim are to be given their plain, ordinary and accustomed meaning . . .").

⁵ "Absent an express intent to impart a novel meaning, terms in a claim are to be given their ordinary and accustomed meaning. [Emphasis added.]" Wenger Manufacturing Inc. v. Coating Mach. Sys., Inc., 239 F.3d 1225, 1232, 57 USPQ2d 1679, 1684 (Fed. Cir. 2001) (citations and quotations omitted). "In the absence of an express intent to impart a novel meaning to claim terms, an inventor's claim terms take on their ordinary meaning. We indulge a heavy presumption that a claim term carries its ordinary and customary meaning. [Emphasis added.]" Teleflex Inc. v. Ficosa North America Corp., 299 F.3d 1313, 1325, 63 USPQ2d 1374, 1380 (Fed. Cir. 2002) (citations and quotations omitted).

Art Unit: 3627

redefine' a claim term so as to put one reasonably skilled in the art on notice that the patentee intended to so redefine the claim term"). The Examiner cautions that no new matter is allowed. Applicant is reminded that failure by Applicant in his next response to properly traverse this issue in accordance with 37 C.F.R §1.111(b) or to be non-responsive to this issue entirely will be considered a desire by Applicant to forgo lexicography in this application and to continue having the claims interpreted with their broadest reasonable interpretation. Additionally, it is the Examiner's position that the above requirements are reasonable. Unless expressly noted otherwise by the Examiner, the preceding discussion on claim interpretation principles applies to all examined claims currently pending.

17. To the extent that the Examiner's interpretations are in dispute with Applicants' interpretations, the Examiner hereby adopts the following definitions—under the broadest

⁶ See also *Vitronics Corp. v. Conceptronic, Inc.*, 90 F.3d 1576, 1582, 39 USPQ2d 1573, 1576 (Fed. Cir. 1996), ("[A] patentee may choose to be his own lexicographer and use terms in a manner other than their ordinary meaning, as long as the special definition of the term is clearly stated in the patent specification or file history. [Emphasis added.]"); *Multiform Desiccants Inc. v. Medzam Ltd.*, 133 F.3d 1473, 1477, 45 USPQ2d 1429, 1432 (Fed. Cir. 1998) ("Such special meaning, however, must be sufficiently clear in the specification that any departure from common usage would be so understood by a person of experience in the field of the invention."). See also MPEP §2111.01, subsection titled "Applicant May Be Own Lexicographer" and MPEP §2173.05(a) titled "New Terminology."

⁷ See 37 C.F.R. §1.104(c)(3) which states in part: "the examiner may rely upon admissions by applicant . . . as to *any matter* affecting patentability [Emphasis added.]"

⁸ The Examiner's requirements on this matter are reasonable on at least two separate and independent grounds. First, the Examiner's requirements are simply an express request for clarification of how Applicant intends his claims to be interpreted so that lexicography (or even an *attempt* at lexicography) by Applicant is not inadvertently overlooked by the Examiner. Second, the requirements are reasonable in view of the USPTO's goals of compact prosecution, productivity with particular emphasis on reductions in both pendency and cycle time, and other goals as outlined in the USPTO's The 21st Century Strategic Plan, February 3, 2003 available at www.uspto.gov/web/offices/com/strat21/index.htm (last accessed March 29, 2004).

Art Unit: 3627

reasonable interpretation standard—in all his claim interpretations. Moreover, while the following list is provided in accordance with *In re Morris*, the definitions are a guide to claim terminology since claim terms must be interpreted in context of the surrounding claim language. Finally, the following list is not intended to be exhaustive in any way:

Server: "2. On the Internet or other network, a computer or program that responds to commands from a client." Computer Dictionary, 3rd Edition, Microsoft Press, Redmond, WA, 1997. 11 Client: "3. On a local area network or Internet, a computer that accesses shared network resources provided by another computer (called a server)." Id. Computer: "Any machine that does three things: accepts structured input, processes it according to prescribed rules, and produces the results as output." Id.

Internet "The worldwide collection of networks and gateways that use the TCP/IP suite of protocols to communicate with one another. At the heart of the Internet is a backbone of high-speed data communication lines between major nodes or host

⁹ While most definition(s) are cited because these terms are found in the claims, the Examiner may have provided additional definition(s) to help interpret words, phrases, or concepts found in the definitions themselves or in the prior art.

¹⁰ See e.g. *Brookhill-Wilk 1 LLC v. Intuitive Surgical Inc.*, 334 F.3d 1294, 1300, 67 USPQ2d 1132, 1137 (Fed. Cir. 2003) (abstract dictionary definitions are not alone determinative; "resort must always be made to the surrounding text of the claims in question").

skill in this art as determined by the factors discussed in MPEP §2141.03 (where practical), the Examiner finds that the *Microsoft Press Computer Dictionary* is an appropriate technical dictionary known to be used by one of ordinary skill in this art. See e.g. Altiris Inc. v. Symantec Corp., 318 F.3d 1363, 1373, 65 USPQ2d 1865, 1872 (Fed. Cir. 2003) where the Federal Circuit used the Microsoft Press Computer Dictionary (3d ed.) as "a technical dictionary" to define the term "flag." See also In re Barr, 444 F.2d 588, 170 USPQ 330 (CCPA 1971)(noting that its appropriate to use technical dictionaries in order to ascertain the meaning of a term of art) and MPEP §2173.05(a) titled 'New Terminology.'

Art Unit: 3627

computers, consisting of thousands of commercial, government, educational, and other computer systems, that route data and messages." *Id*.

Network "A group of computers and associated network devices that are connected by communications facilities." Id.

Web site "A group of related HTML documents and associated files, scripts, and databases that is served up by an HTTP server on the World Wide Web. The HTML documents in a Web site generally cover one or more related topics and are interconnected through hyperlinks. Most Web sites have a home page as their starting point, which frequently functions as a table of contents for the site. Many large organizations, such as corporations, will have one or more HTTP servers dedicated to a single Web site. However, an HTTP server can also serve several small Web sites, such as those owned by individuals. Users need a Web browser and an Internet connection to access a Web site." Id. HTTP Sever "1. Server software that uses HTTP to serve up HTML documents and any associated files and scripts when requested by a client, such as a Web browser. The connection between client and server is usually broken after the requested document or file has been served. HTTP servers are used on Web and Intranet sites. Also called Web Sever 2. Any machine on which an HTTP server program is running." Id.

For "1 a — used as a function word to indicate purpose <a grant ~ studying medicine>" Merriam-Webster's Collegiate Dictionary, 10th Edition, Merriam-Webster Inc., Springfield, M.A., 1997.

Information "2 a . . . (3): FACTS, DATA . . . " Id.

Art Unit: 3627

Product "2 a: something produced" Id.

18. With respect to claims 1-11, the Examiner respectfully reminds Applicant that: "A system is an apparatus." *Ex parte Fressola* 27 USPQ2d 1608, 1611 (B.P.A.I. 1993)(citations omited). Additionally, "[c]laims in apparatus form conventionally fall into the 35 U.S.C. §101 statutory category of a 'machine." *Ex parte Donner*, 53 USPQ2d 1699, 1701 (B.P.A.I. 1999)(unpublished), (Paper No. 34, page 5, issued as U.S. Patent 5,999,907). Therefore, it is the Examiner's position that Applicant's system claims are "product," "apparatus," or more specifically, "machine" claims. 12

Page 10

19. Because claims 1-11 are product or machine claims, Applicant is also reminded that functional recitations using the word "for" or other functional terms (e.g. see "for storing on-hand, reserved, and order inventory information" as recited in claim 1) have been considered but are given little patentable weight because they fail to add any structural limitations and are thereby regarded as intended use language. A recitation of the intended use in a product claim must result in a structural difference between the claimed product and the prior art in order to patentably distinguish the claimed product from the prior art. If the prior art structure is capable of performing the intended use, then it reads on the claimed limitation. *In re Casey*, 370 F.2d 576, 152 USPQ 235 (CCPA 1967) ("The manner or method in which such machine is to be utilized is not germane to the issue of patentability of the machine itself."); *In re Otto*, 136 USPQ 458, 459 (CCPA 1963). See also MPEP §§ 2114 and 2115.

¹² Products may be either machines, manufactures, or compositions of matter. MPEP §2106 IV B. 2 (a).

¹³ See e.g. *In re Gulack*, 703 F.2d 1381, 217 USPQ 401, 404 (Fed. Cir. 1983)(stating that although all limitations must be considered, not all limitations are entitled to patentable weight.).

Art Unit: 3627

Response to Arguments

- 20. Applicant's arguments with respect to the claims have been considered but are moot in view of the new grounds of rejection.
- 21. The Examiner notes that this application does *not* contain any preliminary amendment.

Conclusion

- 22. The following references are considered pertinent to Applicant's disclosure: Mankes (U.S. 6,477,503 B1); Fox (U.S. 6,061,691); Blinn et. al. (U.S. 6,058,373); Westerlage et. al. (U.S. 5,987,377); Mowery et. al. (U.S. 5,983,198); Brockman (U.S. 5,884,300); Yamamoto et. al. (U.S. 5,771,172); Sheldon et. al. (U.S. 5,765,143); Green et. al. (U.S. 5,664,110); Chen et. al. (U.S. 5,590,197); Roach et. al. (U.S. 5,434,394); Caveney (U.S. 5,038,283); and Schneider et. al. (U.S. 4,887,208).
- 23. The following two (2) citations to the Manual of Patent Examining Procedure ("MPEP") apply to this Office Action: MPEP citations to Chapters 200, 700, 1800, and 2100 are from the MPEP 8th Edition, Rev 1, February 2003. All remaining MPEP citations are from MPEP 8th Edition, August 2001.
- In accordance with *In re Lee*, 277 F.3d 1338, 1344-45, 61 USPQ2d 1430, 1434-35 (Fed. Cir. 2002), the Examiner finds that the references <u>How Computers Work</u> Millennium Ed. by Ron White; <u>How Networks Work</u>, Millennium Ed. by Frank J. Derfler et. al.; and <u>How the Internet Works</u>, Millennium Ed. by Preston Gralla are additional evidence of what is basic knowledge or common sense to one of ordinary skill in this art. Each reference is cited in its entirety.

 Moreover, because these three references are directed towards beginners (see *e.g.* "User Level").

Art Unit: 3627

Beginning . . ."), because of the references' basic content (which is self-evident upon review of the references), and after further review of the entire application and all the art now of record in conjunction with the factors as discussed in MPEP §2141.03 (where practical), the Examiner finds that these three references are primarily directed towards those of low skill in this art.

Because these three references are directed towards those of low skill in this art, the Examiner finds that one of ordinary skill in this art must—at the very least—be aware of and understand the knowledge and information contained within these three references.

- Also in accordance with *In re Lee*, the Examiner finds that the references <u>Introduction to Financial Accounting</u>, Revised 3rd Ed. by Charles T. Horngren et. al. and Borland's <u>Paradox for Windows User's Guide</u> are additional evidence of what is basic knowledge or common sense to one of ordinary skill in this art. Each reference is cited in its entirety. While Horngren describes basic financial and managerial accounting practices, the Paradox for Windows User's Guide exemplifies a typical relational database system. Moreover, because of the references' basic content, and after further review of the entire record including the prior art now of record in conjunction with the factors as discussed in MPEP §2141.03 (where practical), the Examiner finds that the references are primarily directed towards those of low skill in this art. Because the references are directed towards those of low skill in this art, the Examiner finds that one of ordinary skill in this art must—at the very least—be aware of and understand the knowledge and information contained within the references.
- 26. In accordance with the USPTO's goals of customer service, compact prosecution, and reduction of cycle time, the Examiner has made every effort to clarify his position regarding claim interpretation and any rejections or objections in this application. Furthermore, the Examiner has provided Applicant with notice—for due process purposes—of his position

Application/Control Number: 09/769,294 Page 13

Art Unit: 3627

regarding his factual determinations and legal conclusions. If Applicant disagrees with any factual determination or legal conclusion made by the Examiner in this Office Action whether expressly stated or implied, ¹⁴ the Examiner respectfully reminds Applicant to properly traverse the Examiner's position(s) in accordance with 37 C.F.R. §1.111(b) in his next response. By addressing these issues now, matters where the Examiner and Applicant agree can be eliminated allowing the Examiner and Applicant to focus on areas of disagreement (if any) with the goal towards allowance in the shortest possible time. If Applicant has any questions regarding the Examiner's positions or has other questions regarding this communication or even previous communications, Applicant is strongly encouraged to contact Examiner Andrew J. Fischer whose telephone number is (703) 305-0292. If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's immediate supervisor, Robert Olszewski, can be reached at (703) 308-5183. To respond to this Office Action by facsimile, fax to (703) 872-9306.

Andrew J. Fischer Patent Examiner Art Unit 3627

AJF March 29, 2004

¹⁴ E.g., if the Examiner rejected a claim under §103 with two references, although not directly stated, it is the Examiner's implied position that the references are analogous art.